

01-16-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

## **APPLICATION ELEMENTS**

*See MPEP chapter 600 concerning utility patent application contents.*

- |  |   |                  |                          |
|--|---|------------------|--------------------------|
| 1. <input checked="" type="checkbox"/>   | Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original and a duplicate for fee processing)</i>            |                  |                          |
| 2. <input checked="" type="checkbox"/>   | Applicant claims small entity status.<br>See 37 CFR 1.27.   |                  |                          |
| 3. <input checked="" type="checkbox"/>   | Specification<br><i>(preferred arrangement set forth below)</i>   | [Total Pages]    | <b>35</b>                |
| <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> |   |                  |                          |
| 4. <input type="checkbox"/>  | Drawing(s) <i>(35 U.S.C. 113)</i>   | [ Total Sheets ] | <input type="checkbox"/> |
| 5. Oath or Declaration   | [ Total Pages ] <input type="checkbox"/>  |                  |                          |
| a. <input checked="" type="checkbox"/>   | Newly executed (original or copy)   |                  |                          |
| b. <input type="checkbox"/>  | Copy from a prior application <i>(37 CFR 1.63 (d))</i><br><i>(for continuation/divisional with Box 18 complete)</i> |                  |                          |
| i. <input type="checkbox"/>  | <b><u>DELETION OF INVENTOR(S)</u></b>   |                  |                          |
| Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  |   |                  |                          |
| 6. <input type="checkbox"/>  | Application Data Sheet. See 37 CFR 1.76   |                  |                          |

Attorney Docket No. 1032-2

First Inventor Fran Gare

**Title** Composition Containing Xylitol and Fiber

Express Mail Label No. EL448691705 US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*

  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## **ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*  Power of Attorney

11.  English Translation Document *(if applicable)*

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
 Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17.  Other: *return receipt postcard (MPEP 503)*

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No : /

**Prior application information:** \_\_\_\_\_ **Examiner** \_\_\_\_\_ **Group Art Unit:** \_\_\_\_\_  
**For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.**

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	<input type="text"/> (Insert Customer No. or Attach bar code label here)		or	<input type="checkbox"/>	Correspondence address below
Name					
Address	25903				
	PATENT TRADEMARK OFFICE		State		Zip Code
City					
Country			Telephone	Fax	
Name (Print/Type)	Sackie Jay Schwartz		Registration No. (Attorney/Agent)	34,721	
Signature			Date	1/11/01	

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number

1032-2

## CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEES	RATE	FEES
BASIC FEE (37 CFR 1.16(a))				\$355		
TOTAL CLAIMS (37 CFR 1.16(c))	33	minus 20 = * 13	x \$ 9 =	117	OR x \$ _____ =	\$ _____
INDEPENDENT CLAIMS (37 CFR 1.16(b))	2	minus 3 = * 0	x _____ =	0	OR x _____ =	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(d))			+ _____ =	0	OR + _____ =	
			TOTAL	472	OR TOTAL	

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

SMALL ENTITY

OR

OTHER THAN  
SMALL ENTITY

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
	Total (37 CFR 1.16(c))	*	Minus	**	=	x \$ _____ =	OR x \$ _____ =	
Independent (37 CFR 1.16(b))	*	Minus	***	=	x _____ =	OR x _____ =		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))								
(Column 1)	(Column 2)	(Column 3)			TOTAL	ADDIT. FEE	OR TOTAL	ADDIT. FEE

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
	Total (37 CFR 1.16(c))	*	Minus	**	=	x \$ _____ =	OR x \$ _____ =	
Independent (37 CFR 1.16(b))	*	Minus	***	=	x _____ =	OR x _____ =		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))								
(Column 1)	(Column 2)	(Column 3)			TOTAL	ADDIT. FEE	OR TOTAL	ADDIT. FEE

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDI- TIONAL FEE	RATE	ADDI- TIONAL FEE
	Total (37 CFR 1.16(c))	*	Minus	**	=	x \$ _____ =	OR x \$ _____ =	
Independent (37 CFR 1.16(b))	*	Minus	***	=	x _____ =	OR x _____ =		
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(d))								
(Column 1)	(Column 2)	(Column 3)			TOTAL	ADDIT. FEE	OR TOTAL	ADDIT. FEE

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

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